

<p>Form PTO-1390 OFFICE</p> <p style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK</p> <p style="text-align: center;"><b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b></p>		<p>ATTORNEY'S DOCKET NUMBER</p> <p style="font-size: 1.2em;"><b>GC779-2-US</b></p> <p>U.S. APPLICATION NO. (If known, see 37 CFR 1.5) [please provide] <b>10/527827</b></p>
<p>INTERNATIONAL APPLICATION NO. <b>PCT/US2003/031445</b></p>	<p>INTERNATIONAL FILING DATE <b>03 October 2003 – 03.10.03</b></p>	<p>PRIORITY DATE CLAIMED <b>04 October 2002 – 04.10.02</b></p>
<p>TITLE OF THE INVENTION <b>Improved Production of Bacterial Strains Cross Reference to Related Applications</b></p>		
<p>APPLICANT(S) FOR DOE/EO/US</p> <p><b>Marguerite A. CERVIN, 404 Palomar Dr., Redwood City, California 94062 Philippe SOUCAILLE, Chant du Coucou, F-31450 Deyme, France Donald E. TRIMBUR, 349 Orchard Avenue, Redwood City, California 94061 Fernando VALLE, 1015 Cadillac Way, Apt. 212, Burlingame, California 94010</b></p>		
<p>Applicant herewith submits to the United States Designated/Elected Office (do/eo/us) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor/s (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document/s or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing according to PCT Rule 13ter.2 and 37 CFR.1.621-1.825</li> <li>18. <input type="checkbox"/> A second copy of the published international Application under 35 USC 154(4)(4)</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the Int'l Appln. under 35 USC 154(4)(4)</li> <li>20. <input checked="" type="checkbox"/> Other items of information:             <div style="margin-left: 20px;"> <p>■ Copy of Search Report</p> <p>■</p> </div> </li> </ol>		

U.S. APPLICATION NO. (If known, fill in 37 CFR 7.5) <b>10152732</b> Please provide		INTERNATIONAL APPLICATION NO. <b>PCT/US2003/031445</b>		ATTORNEY'S DOCKET NUMBER <b>GC779-2-US</b>	
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The following fees are submitted:					CALCULATIONS	PTO USE ONLY
21.	<input checked="" type="checkbox"/>	Basic National Fee	\$ 300	\$ 300		
22.	<input type="checkbox"/>	If International Prelim Examination Report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) All other situations	\$ 100 \$ 200	\$ 200		
23.	<input type="checkbox"/>	Search fee - Search fee has been paid on the International Application To the USPTO as an International Searching Authority - International Search Report prepared and provided To the Office - All other situations	\$ 100 \$ 400 \$ 500	\$ 100		
<b>TOTAL of 21. 22 and 23</b>				<b>\$ 600</b>		

<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding seq listing or computer program listing). The fee is \$250 for each additional 50 sheets of paper or fraction thereof						
Total sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to whole number)	Rate			
- 100 =	/50 =		\$250			
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(4)).					\$	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	42	- 20 =	22	x \$ 50.00	\$1100
Independent claims	5	- 3 =	2	x \$200.00	\$ 400
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$
<b>SUBTOTAL =</b>					<b>\$2100</b>

Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$
<b>TOTAL NATIONAL FEE =</b>	<b>\$2100</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$
<b>TOTAL FEES ENCLOSED =</b>	<b>\$2100</b>

	Amount to be refunded:	\$
	charged:	\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **07-1048** in the amount of **\$2100** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **07-1048**. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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10/527 827

DT15 Re PCT/PTO 15 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

In re Patent Application of

Marguerite A. Cervin, et al.

US Serial No. [please provide]

Based on PCT/US2003/031445, filed 03.10.2003

For: **Improved Production of Bacterial  
Strains Cross Reference  
To Related Applications**

**TRANSMITTAL  
OF PATENT APPLICATION  
UNDER 35 USC 371**

I hereby certify that this paper and attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated hereunder and is addressed to the **Mail Stop PCT**, Commissioner of Patents, PO Box 1450, Alexandria, D.C. 22313-1450

15 March 2005 By:

Anke E. Luttrell

**Mail Stop PCT**

Honorable Commissioner of Patents  
PO Box 1450  
Alexandria, D.C. 22313-1450

Dear Sir:

Attached, for entering the US national phase of International Patent Application PCT/US2003/031445, are the following documents:

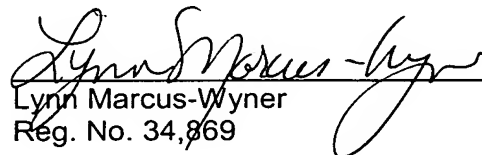
1. Form PTO 1390 - Transmittal Letter to the US DO/EO/US Concerning a Filing under 35 USC 371;
2. International Patent Publication WO 2004/033421
3. International Search Report

Respectfully submitted,

GENENCOR INTERNATIONAL, INC.

15 March 2005

By:

  
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